

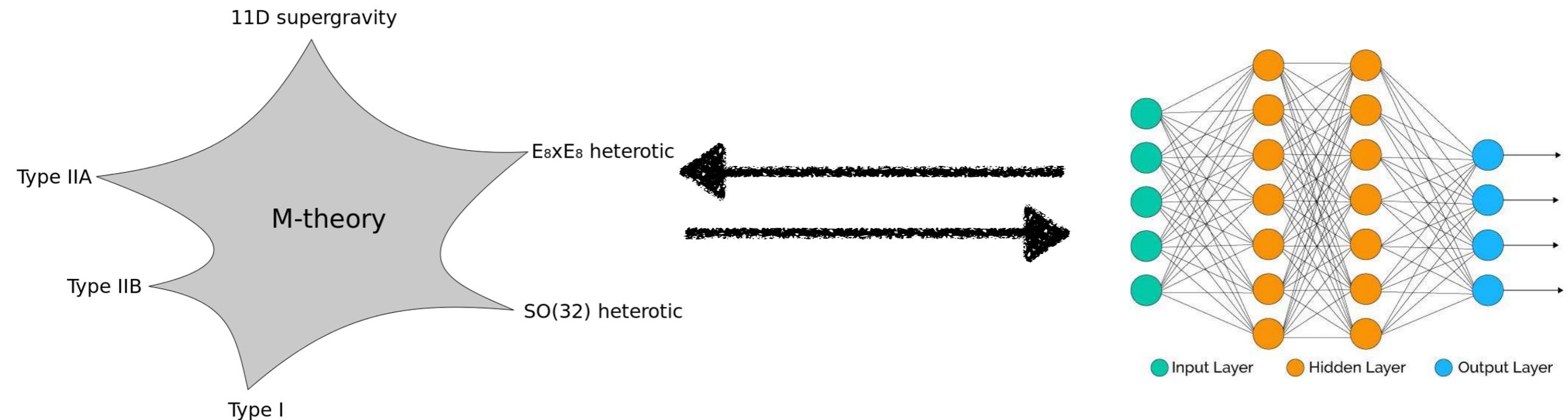
Machine Learning and String Theory



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Outline

- AI and strings: History and motivation
- Machine learning basics
- String theory basics
- Machine-learning string theory
- Conclusion

AI and strings: History and motivation

A short history of about three years, starting in Oxford TP . . .



Fabian Ruehle



Sven Krippendorf



Yang-Hui He

Fabian Ruehle: [arXiv:1706.07024](https://arxiv.org/abs/1706.07024)

“Evolving neural networks with genetic algorithms to study the string landscape”

Yang-Hui He: [arXiv:1706.02714](https://arxiv.org/abs/1706.02714)

“Deep learning the landscape”

A burst of activity since - but still in its infancy . . .

The “obvious” motivation . . .

- String theory leads to very large data sets, very different from the “usual” data (pictures, videos,...).
(latest estimate: 10^{272000} solutions to string theory)
- Machine learning provides a set of “large-data” techniques

Can machine learning help uncover features of string data?

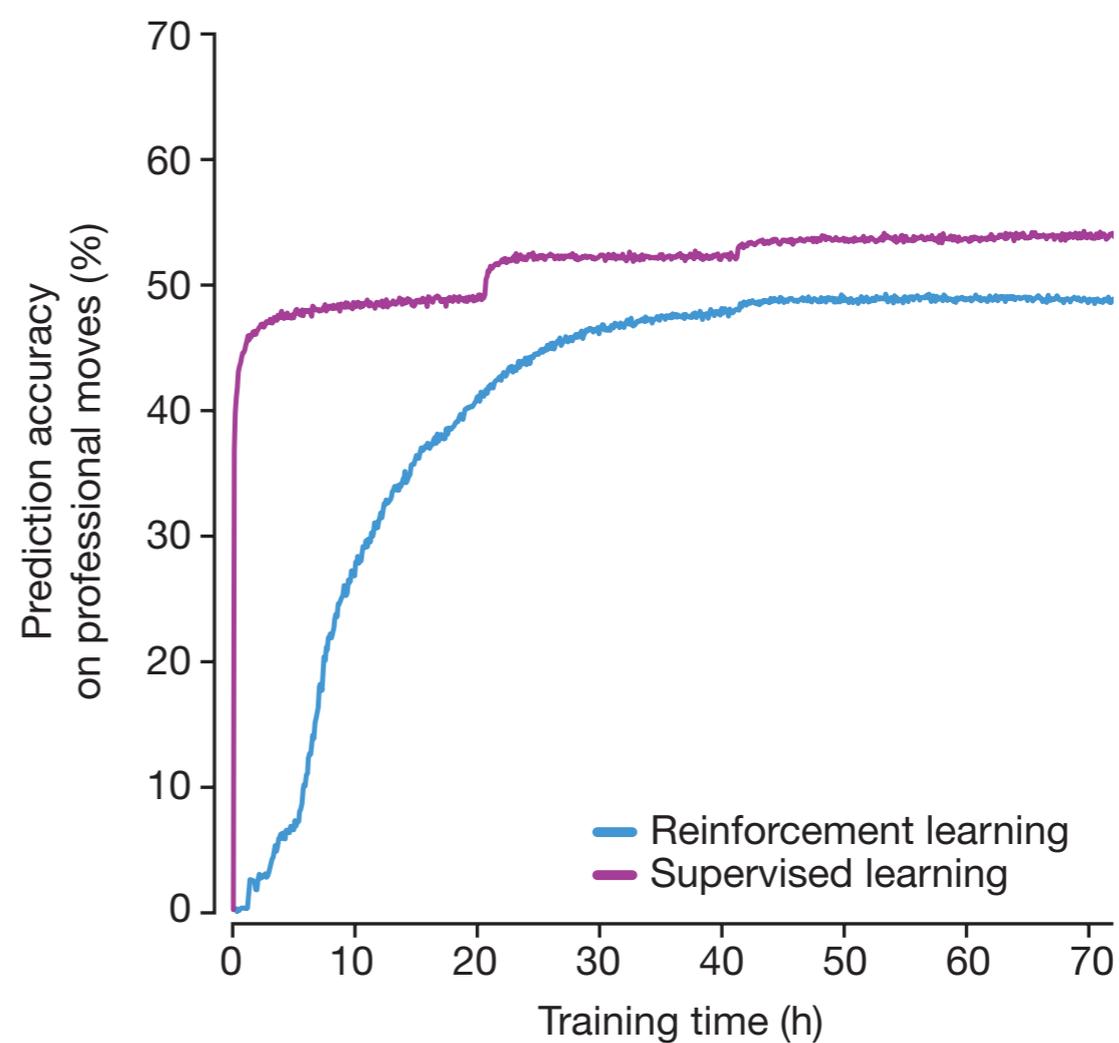
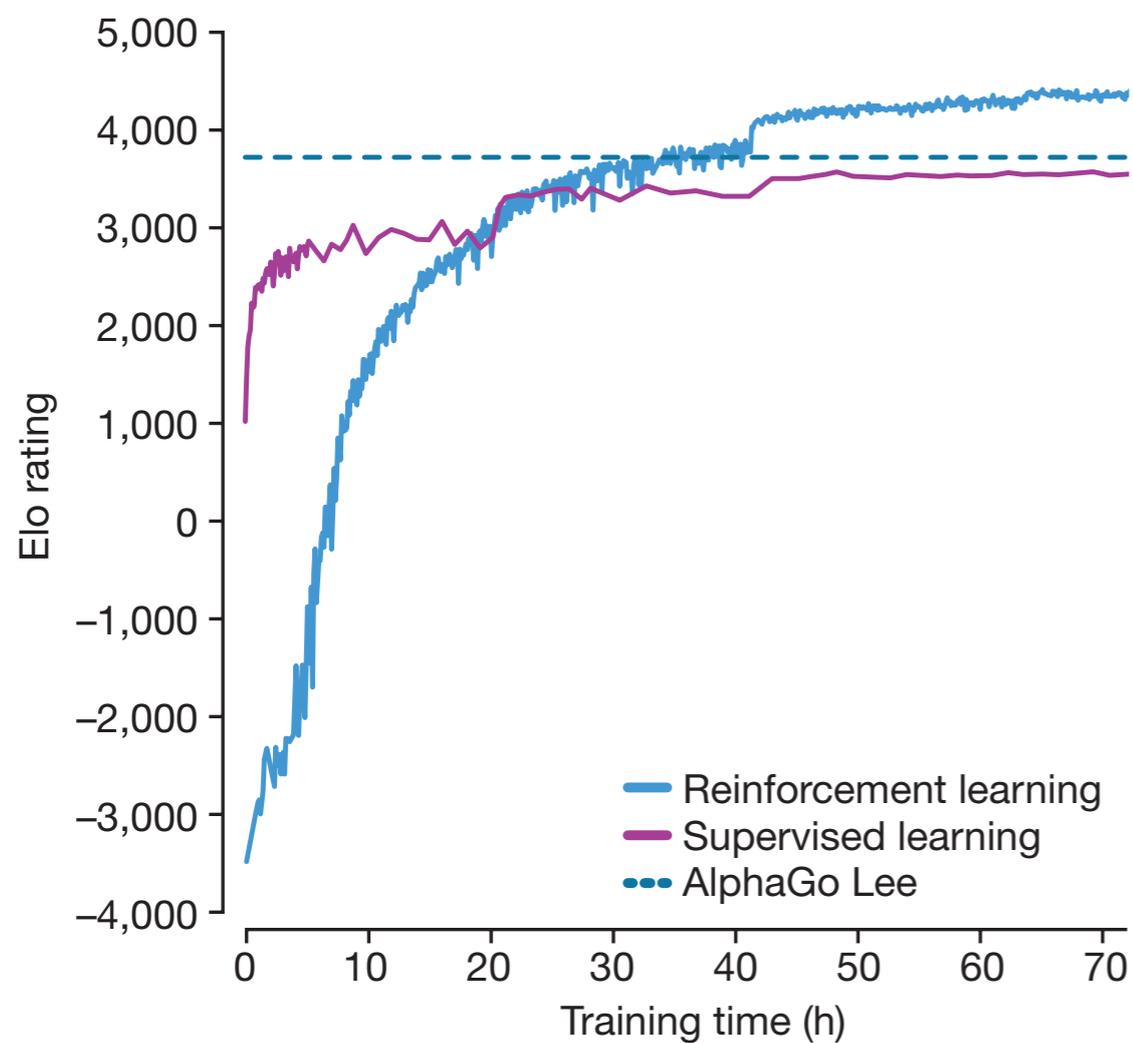
Perhaps machine learning can do more . . .

Example: Game of go ($\sim 10^{800}$ games)

(For comparison: $\sim 10^{40}$ "sensible" chess games)

Tackling the game of go with machine learning:

(Silver et al, DeepMind, Nature 2017)



. . . and help reveal mathematical structures.

So two basic questions:

- Can ML help reveal mathematical structures within string theory?
(Can it be more than a “black box”?)

Example: Learning line bundle cohomology

(C. Brodie, A. Constantin, R. Deen, AL, arXiv:1906.08769)

- Can ML help sort through the large amount of string data?

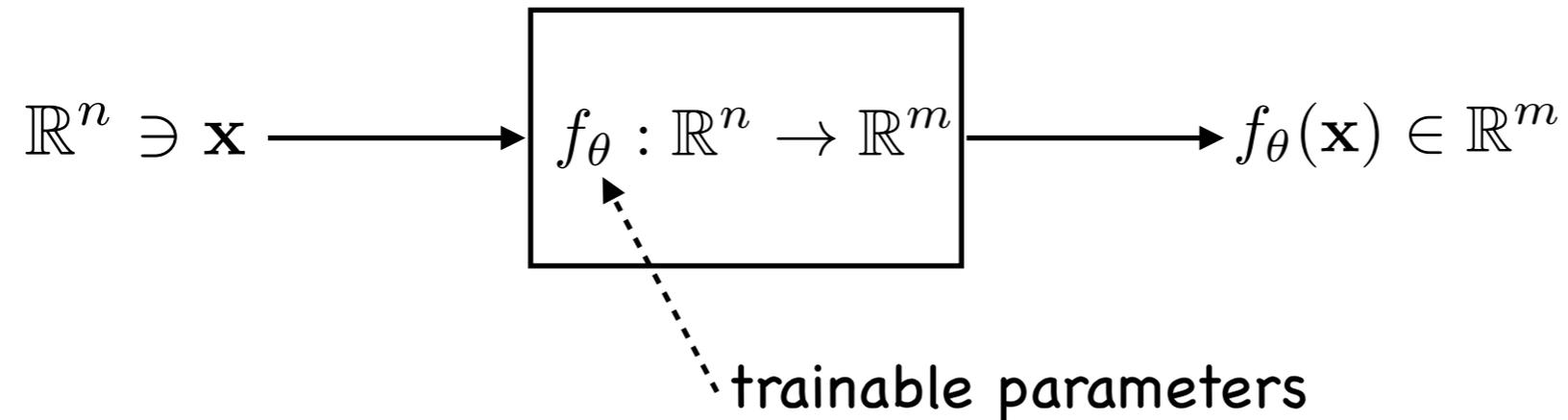
Example: Learning string theory standard models

(R. Deen, Y.-H. He, S.-J. Lee, AL, in preparation)

Machine learning basics

Simplest approach: supervised learning

Structure of neural network:



Training:

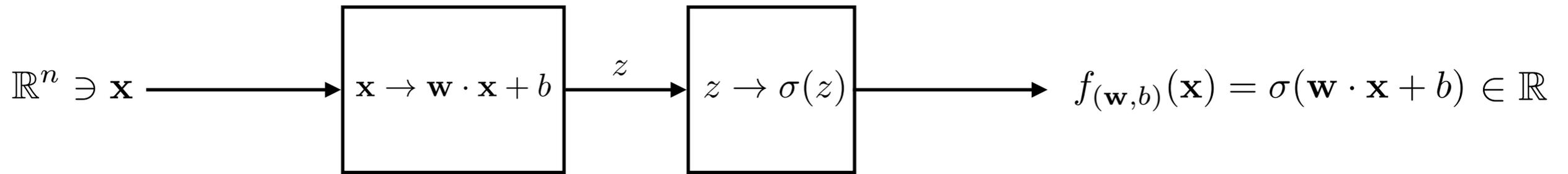
training set: $\{(\mathbf{x}_i, \mathbf{y}_i) \in \mathbb{R}^n \times \mathbb{R}^m\}$

training: minimise loss $L(\theta) = \frac{1}{N} \sum_{i=1}^N |f_\theta(\mathbf{x}_i) - \mathbf{y}_i|^2 \longrightarrow \theta_0$

Validate and test: Compute $L(\theta_0)$ for unseen data $(\mathbf{x}_i, \mathbf{y}_i)$

Predictions: $\mathbf{x} \rightarrow f_{\theta_0}(\mathbf{x})$

A basic building block: the perceptron

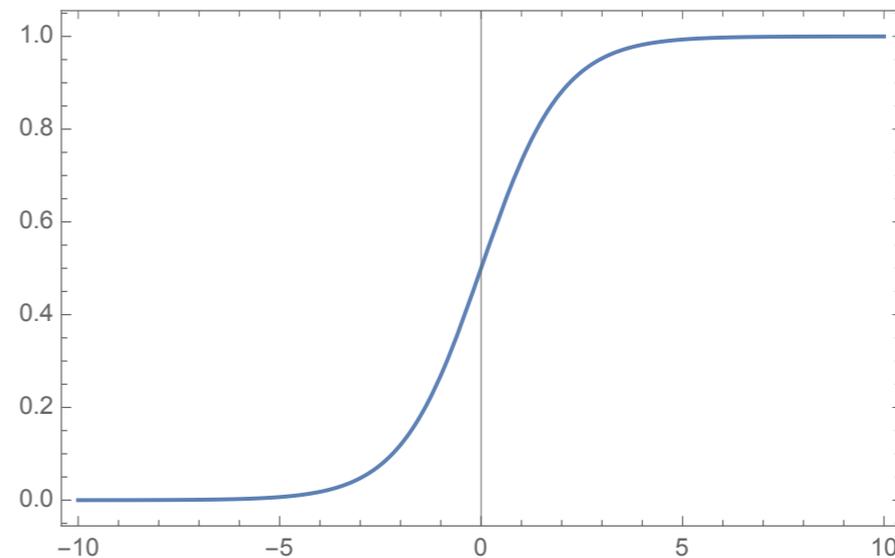


\mathbf{w} : weights b : bias

θ

σ : activation function

Typically: $\sigma(z) = \frac{1}{1 + e^{-z}}$

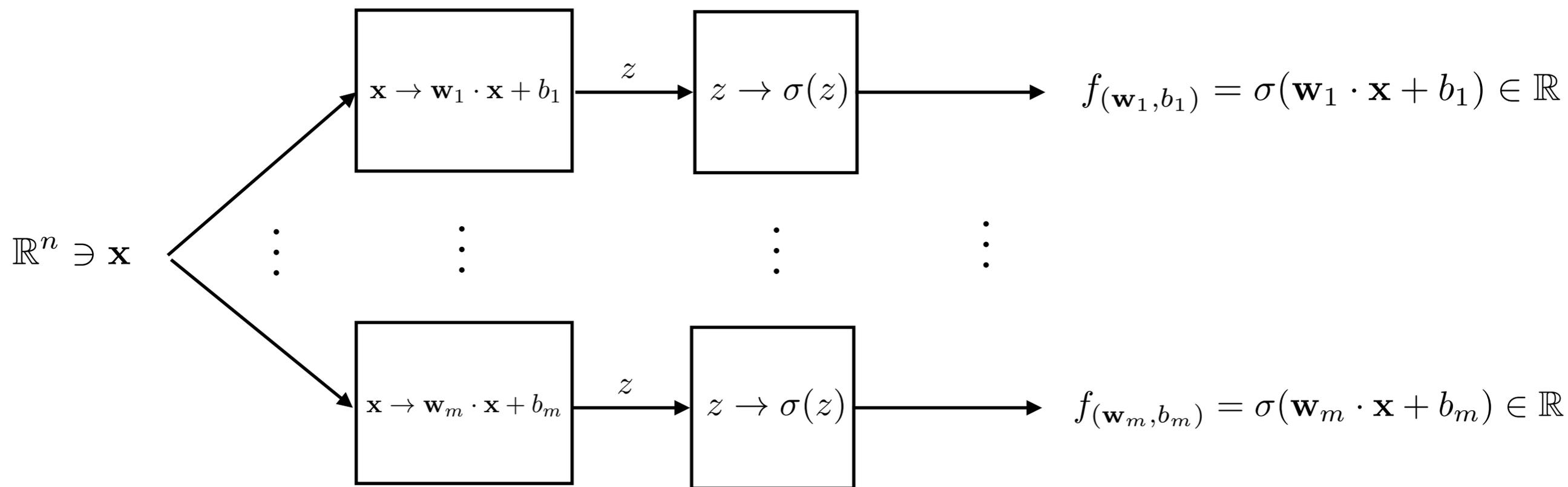


Perceptron relates to the (hyper)plane $\{\mathbf{x} \in \mathbb{R}^n \mid \mathbf{w} \cdot \mathbf{x} + b = 0\}$

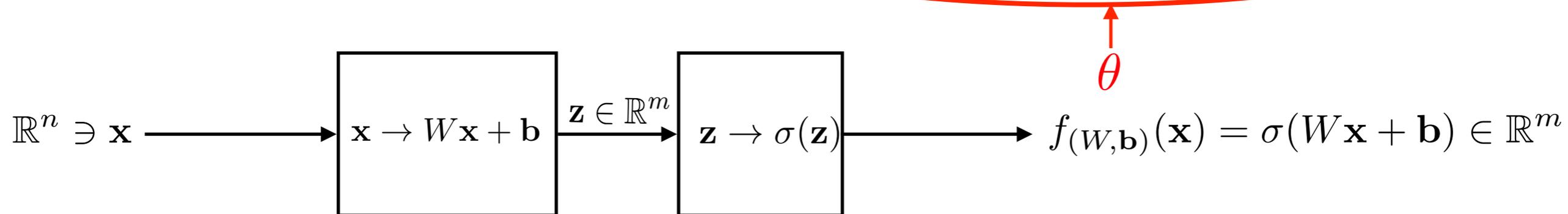
$$f_{\mathbf{w},b}(\mathbf{x}) \simeq \begin{cases} 1 & \mathbf{w} \cdot \mathbf{x} + b > 0 & \text{“above plane”} \\ 0 & \mathbf{w} \cdot \mathbf{x} + b < 0 & \text{“below plane”} \end{cases}$$

-> Example

The next step: m perceptrons in parallel

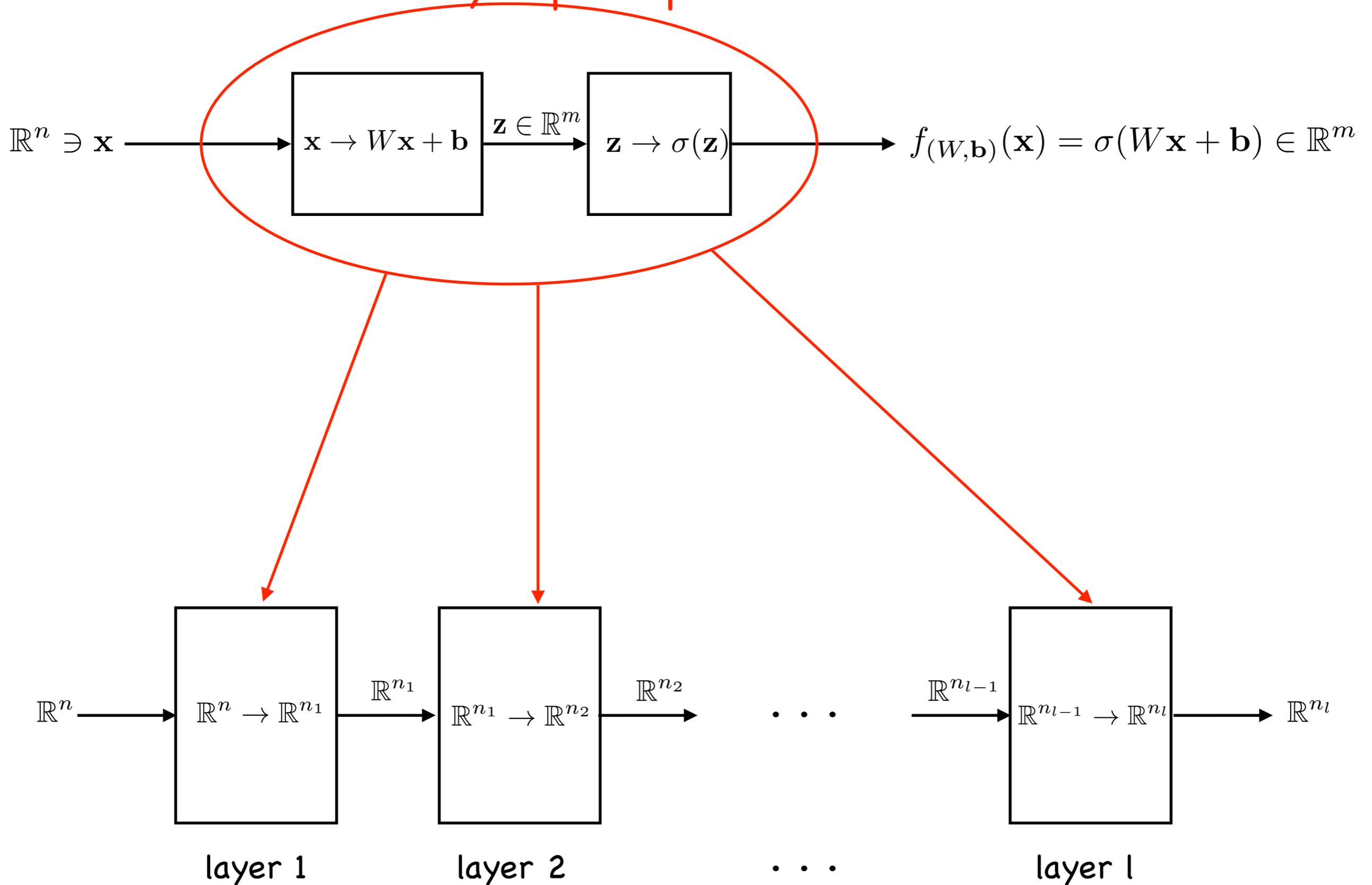


Introduce weight matrix $W = \begin{pmatrix} \mathbf{w}_1 \\ \vdots \\ \mathbf{w}_m \end{pmatrix}$ and bias vector $\mathbf{b} = \begin{pmatrix} b_1 \\ \vdots \\ b_m \end{pmatrix}$



Learns position of m hyperplanes -> pattern recognition

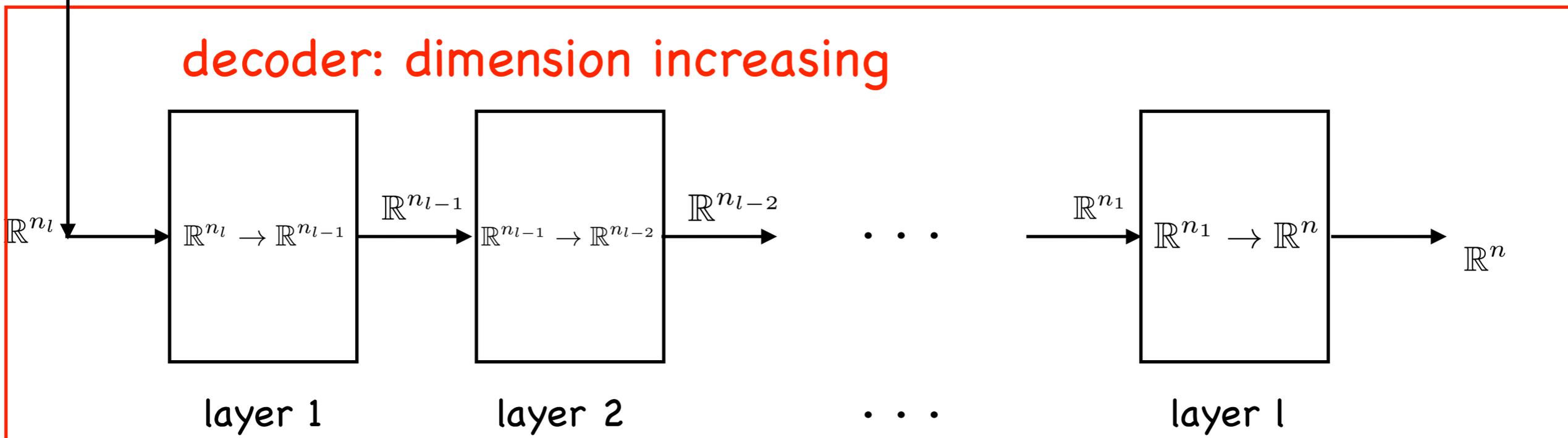
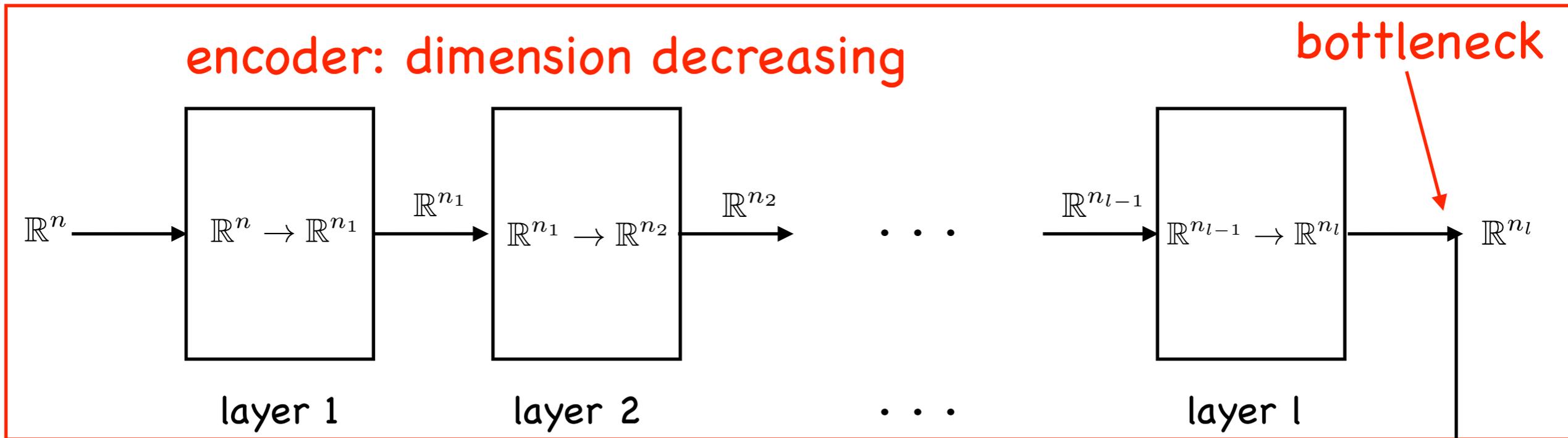
Generalisation: multi-layer perceptron



Many more generalisations of this . . .

-> Example

Unsupervised learning: example auto-encoder



Training set: $\{\mathbf{x}_i\}$

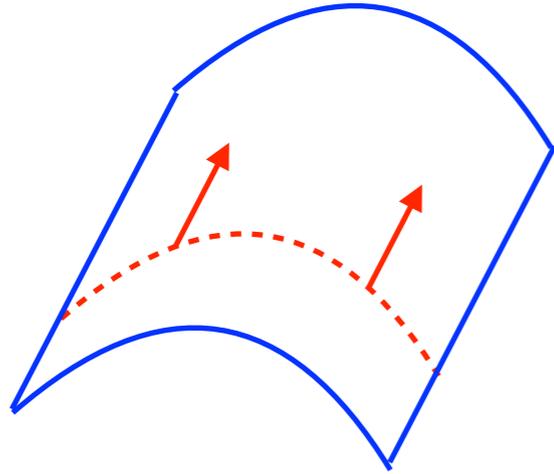
Training: minimise loss $L(\theta) = \frac{1}{N} \sum_{i=1}^N |\mathbf{x}_i - f_{\theta}(\mathbf{x}_i)|^2$

-> Example

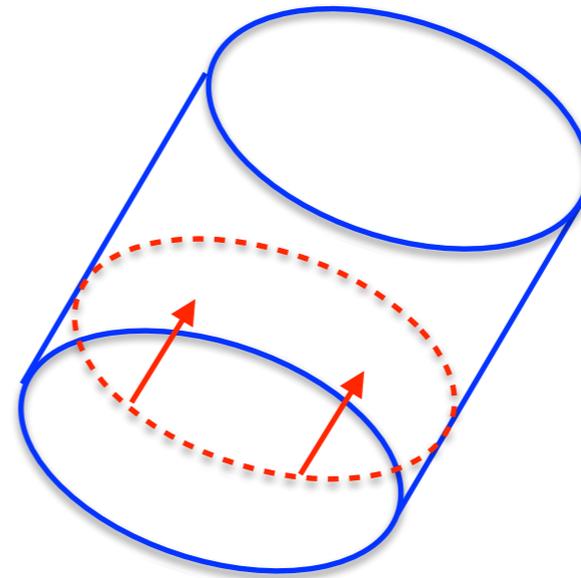
String theory basics

String theory recap

- open string



- closed string



- One free constant: string tension $T = \frac{1}{2\pi\alpha'}$
- Consistent in 10 (or 11) space-time dimensions

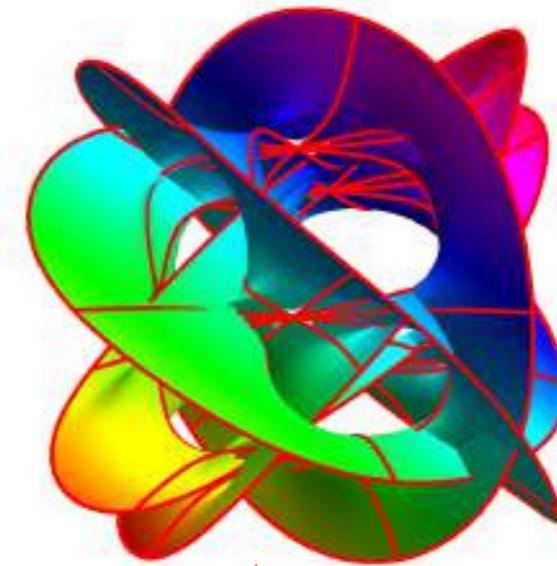
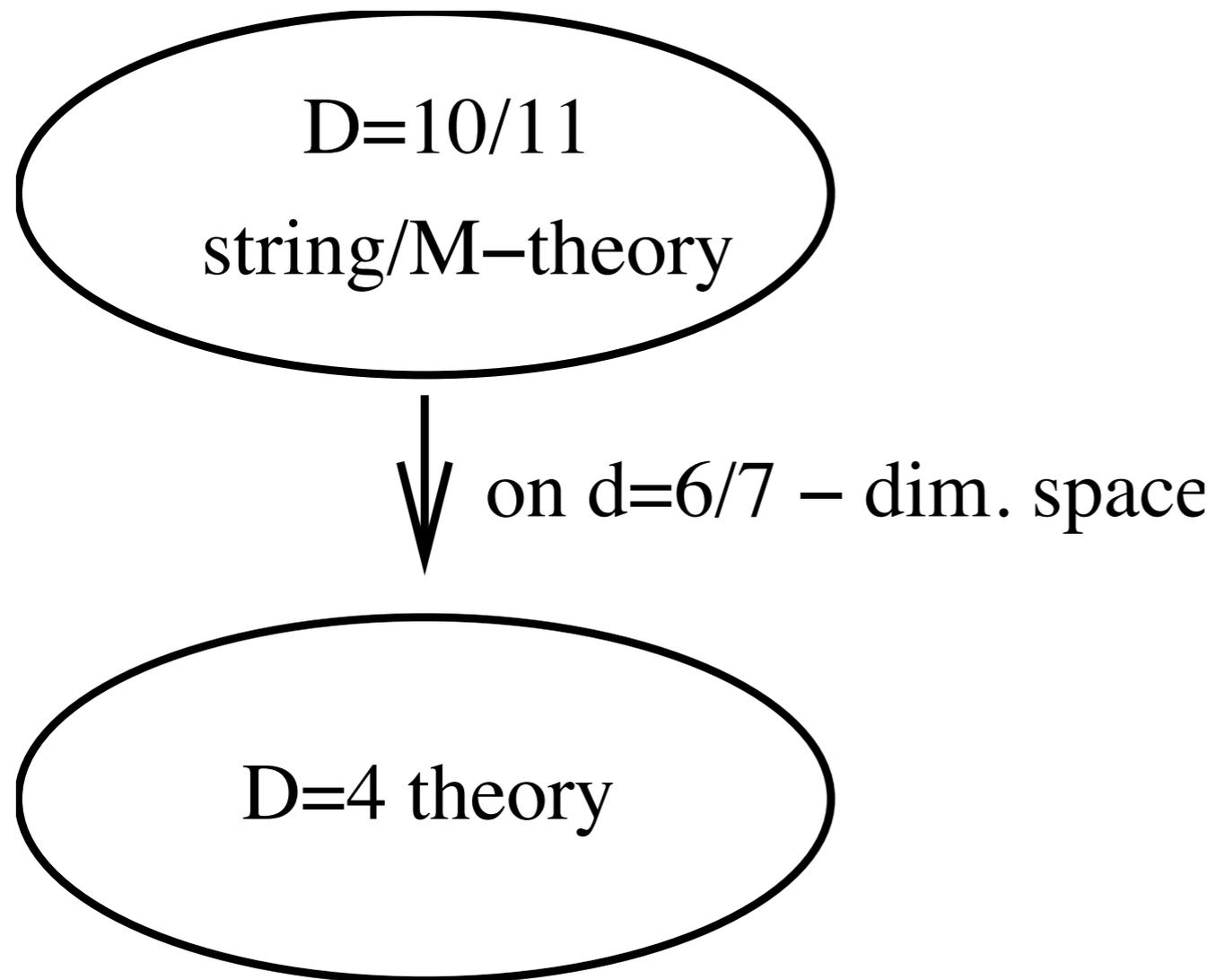
- spectrum: $\alpha' m^2 = n \in \mathbb{N}$ $\left\{ \begin{array}{ll} n = 0 & \rightarrow \text{observed particles} \\ n > 0 & \rightarrow \text{superheavy} \end{array} \right.$

massless ($n = 0$) modes contain: graviton (closed string)
gauge fields (open string)

$$M_s = \frac{1}{\sqrt{\alpha'}} \sim M_{Pl} \sim 10^{19} \text{ GeV} > M_U \sim 10^{16} \text{ GeV}$$

Dimensions

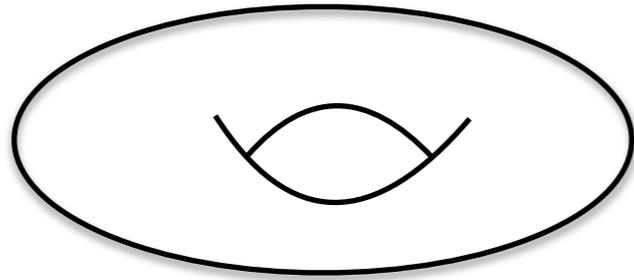
We need to “curl up” six (or seven) of the dimensions to make contact with physics → compactification



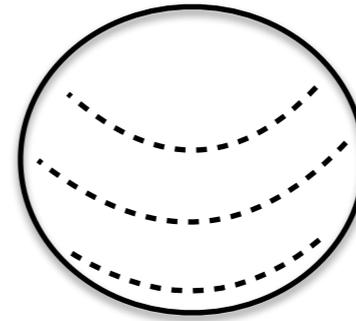
↑
Calabi-Yau manifold
(bi-cubic)

How does the 4d theory depend on the "curling-up"?

topology :



or

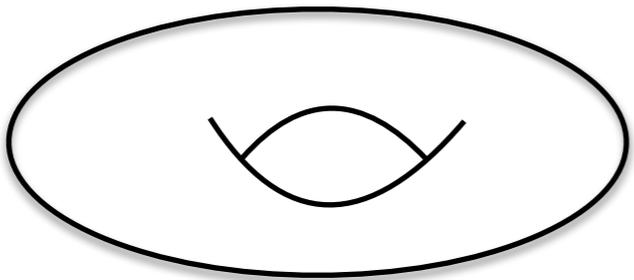


?

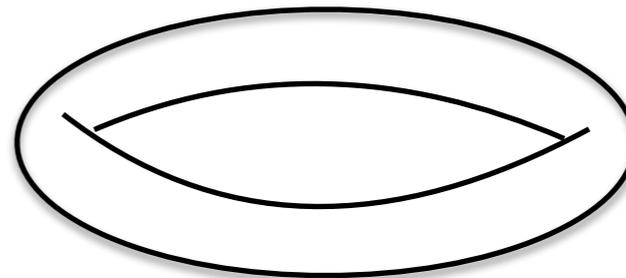
-> determines structure of 4d theory: forces, matter content, . . .
(Maths: Algebraic Geometry)

↑
focus for this talk

shape :



or

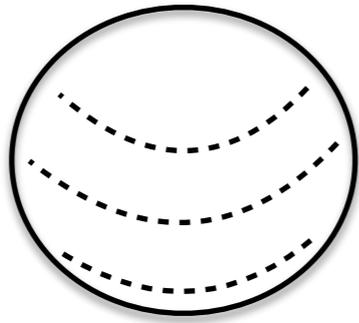


?

-> determines couplings/particle masses in 4d theory
(Maths: Differential Geometry)

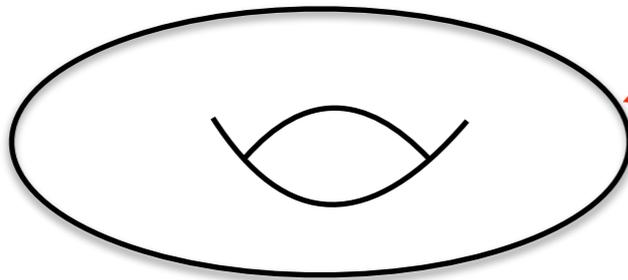
Topologies for curling up, e.g in 2d:

sphere:



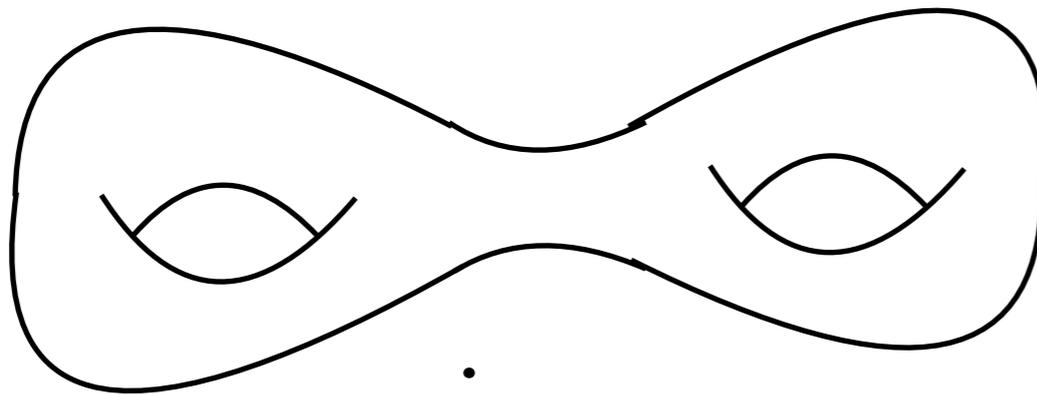
$$g = 0$$

torus:



$$g = 1$$

only consistent choice for 2d
curling-up



$$g = 2$$

⋮

In 2d topology is classified by the genus $g =$ "number of holes".

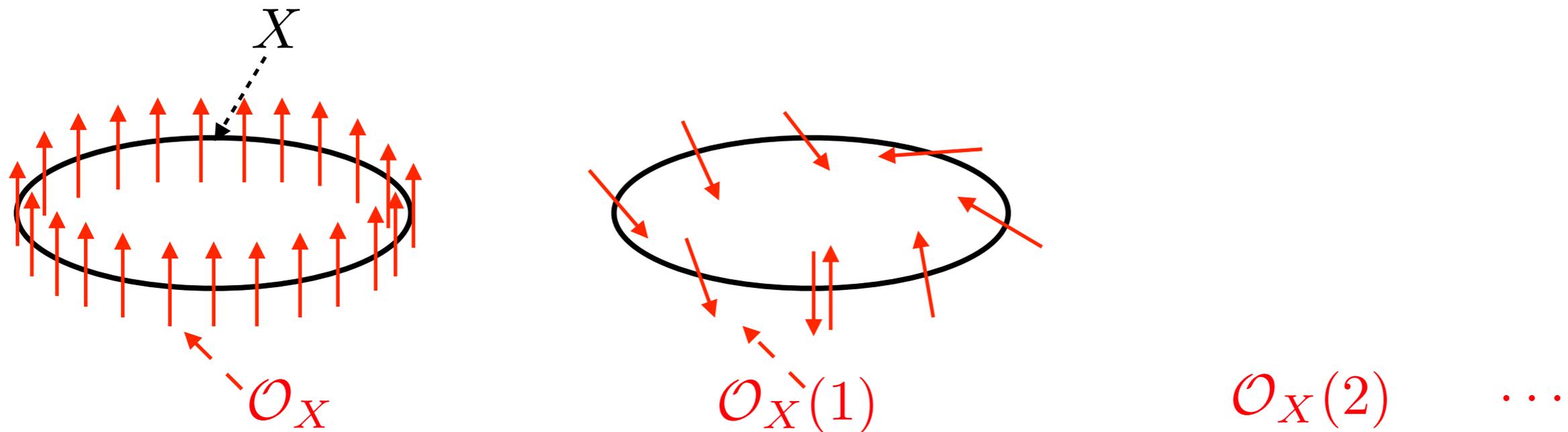
More generally, in 6d, it is classified by integer data \rightarrow many choices.

The large number of string solutions mentioned earlier counts these different topologies!

Some choices lead to a 4d theory close to the standard model of particle physics, many others do not.

How to find the 4d theory from a given topology?

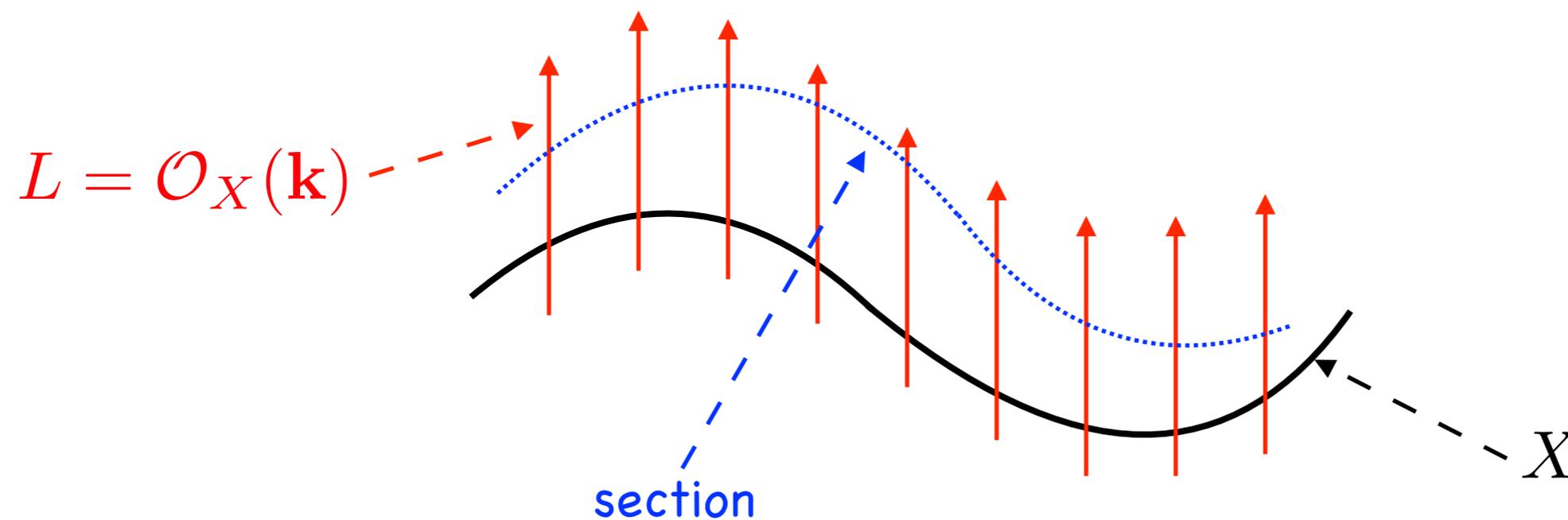
The space X carries additional structure, for example line bundles.



Line bundle topology is specified by integers

$$\mathcal{O}_X(\mathbf{k}) = \mathcal{O}_X(k_1, k_2, \dots, k_n)$$

Line bundles have sections:



Number of independent section is counted by cohomology.

A horrendous calculation

$$L = \mathcal{O}_X(\mathbf{k}) \longrightarrow (h^0(L), h^1(L), h^2(L), h^3(L))$$

Counts #particles in 4d

-> Example

Machine learning string theory

Learning line bundle cohomology

Q1: Can a machine learn the map $\mathbf{k} \rightarrow h^q(\mathcal{O}_X(\mathbf{k}))$?

Q2: Can a machine provide information about the mathematical structure of this map?

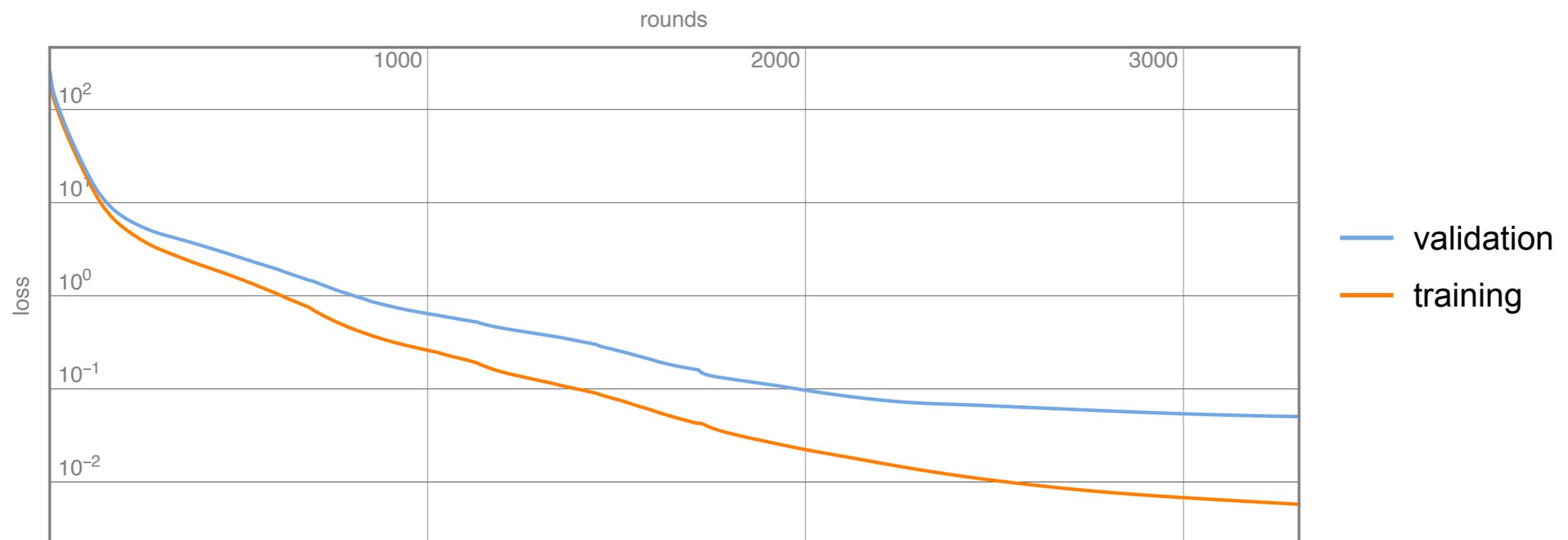
Training data: $\{\mathbf{k}_i, h^q(\mathcal{O}_X(\mathbf{k}_i))\}$ from horrendous calculations

Q1: Example for line bundle cohomology on $X = dP_2$

Want to learn $h^0(\mathcal{O}_X(\mathbf{k}))$ where $\mathbf{k} = (k_0, k_1, k_2)$.

Training data: about 1000 cohomology values from a box $|k_i| \leq 10$

Number of neurons in first layer: 100



Box $|k_i| \leq 10$: net gives correct cohomology for 98% of line bundles

Box $|k_i| \leq 15$: this rate decreases to 73%

This can be repeated, with refinements, for other spaces.

Advantages:

- Fast computation of cohomology dimensions from trained net
- Accurate in 90% of cases, sometimes more

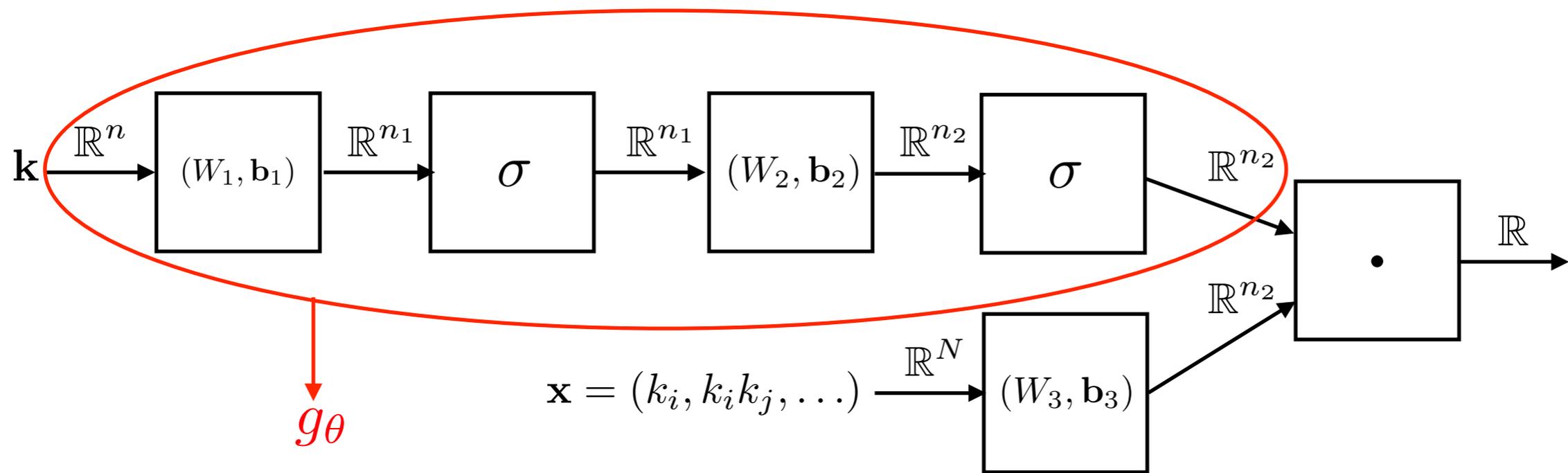
Disadvantages:

- Accurate in only 90% of cases
- Fails outside the “training box”
- Black box: offers no insight into structure of cohomology

Q2: Can we use ML to conjecture formulae for $h^q(\mathcal{O}_X(\mathbf{k}))$?

Expectation: Formulae are "piecewise" polynomial

Design a net which matches the expected structure:



Assume net has been trained: $\rightarrow g_{\theta_0}, W_{30}, \mathbf{b}_{30}$

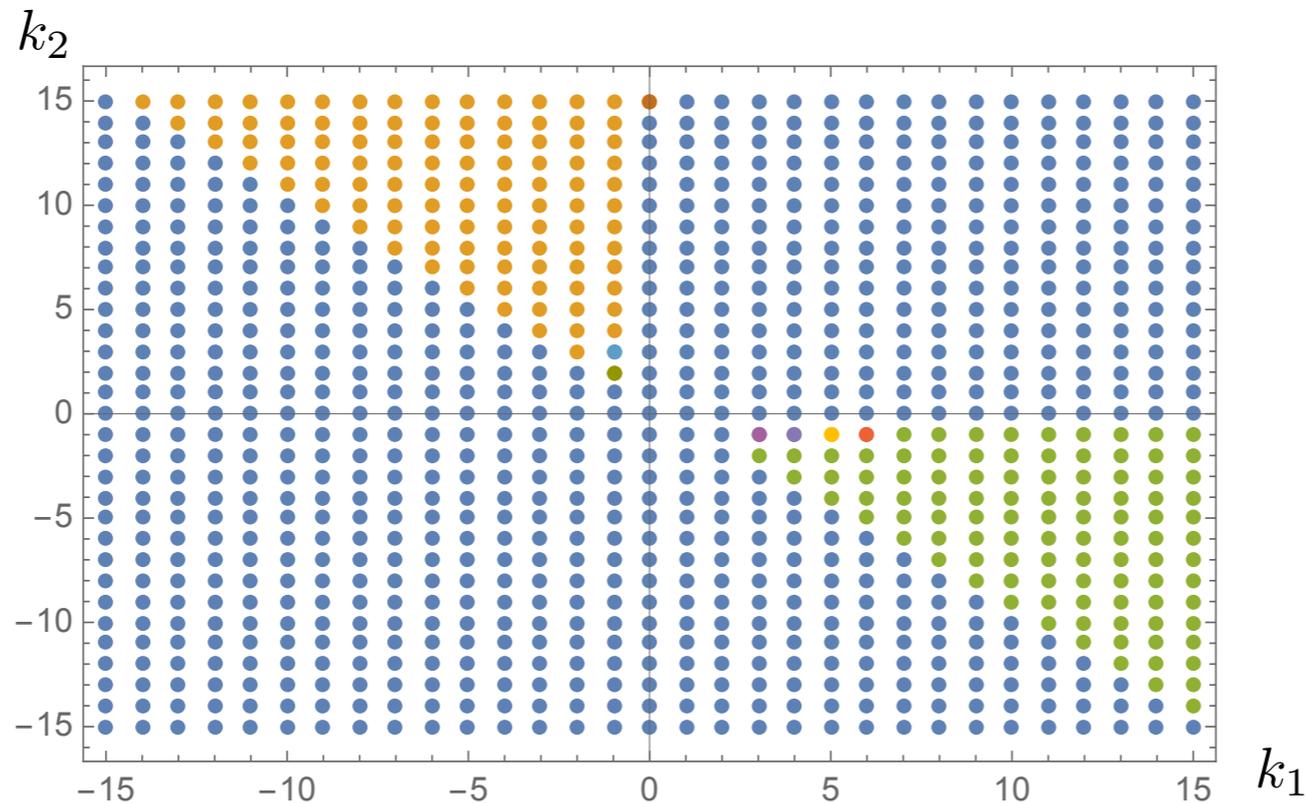
$$a_0 \simeq g_{\theta_0}(\mathbf{k}) \cdot \mathbf{b}_{30}$$

$$\mathbf{a} \simeq g_{\theta_0}(\mathbf{k}) W_{30}$$

Line bundles with similar (a_0, \mathbf{a}) are in the same region. This can be used to identify regions and polynomials.

Example 1: bi-cubic $X \in \left[\begin{array}{c|c} \mathbb{P}^2 & 3 \\ \mathbb{P}^2 & 3 \end{array} \right], \quad h^1(X, \mathcal{O}_X(k_1, k_2))$

1) Train and identify regions:

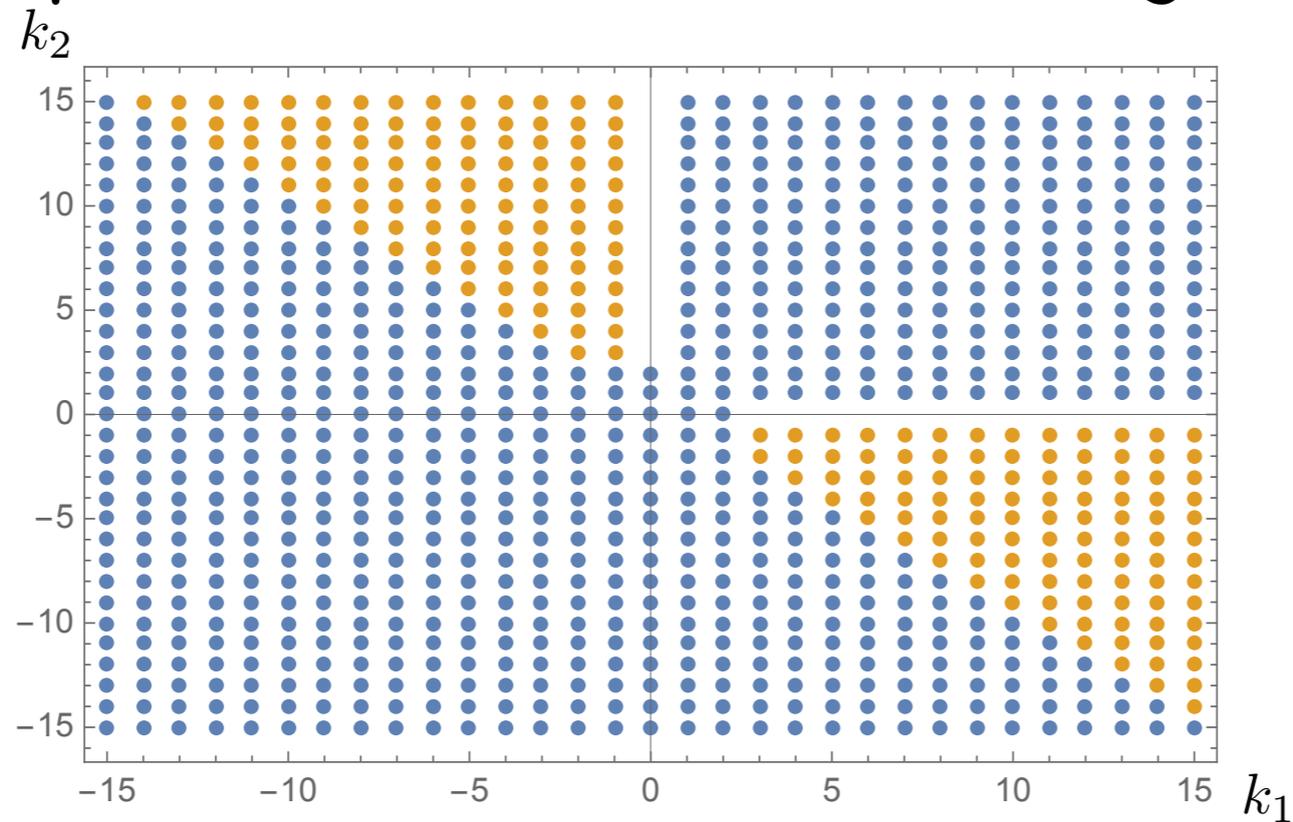


2) Find correct cubic polynomial for each region by a fit:

blue: $h^1(\mathcal{O}_X(k_1, k_2)) = 0$

yellow/green: $h^1(\mathcal{O}_X(k_1, k_2)) = -\frac{3}{2}(k_1 + k_2)(2 + k_1k_2)$

3) Use these equations to find the exact regions:



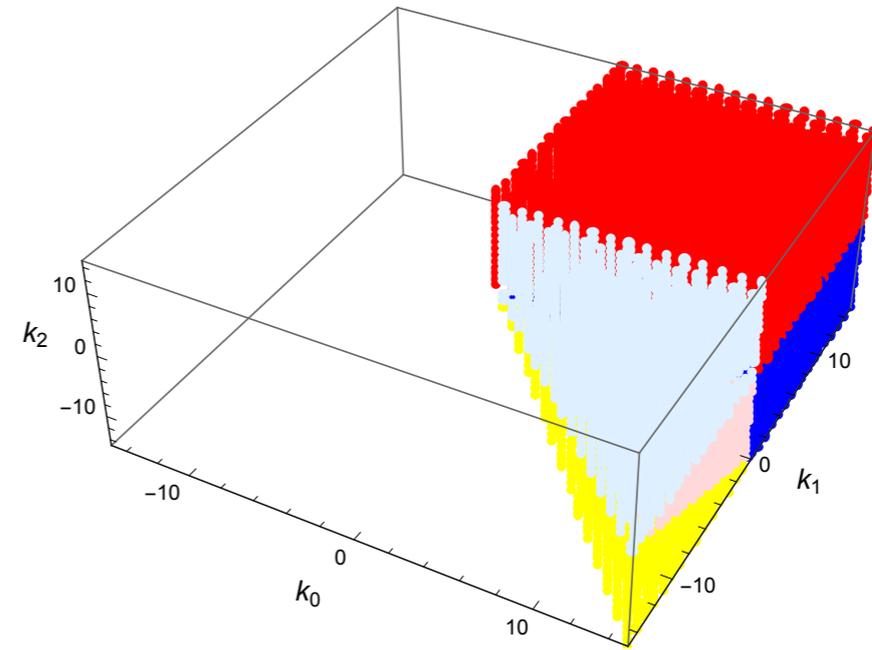
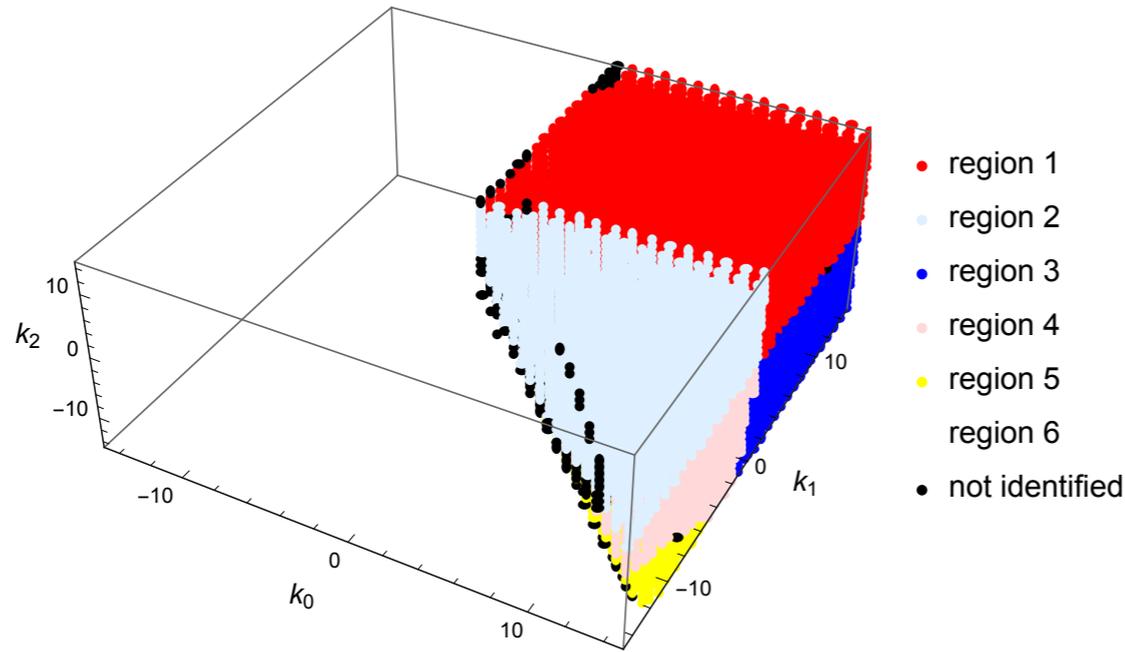
4) Find equations for boundaries of regions

$$h^1(\mathcal{O}_X(\mathbf{k})) = \begin{cases} \frac{1}{2}(-1 + k_2)(-2 + k_2) , & k_1 = 0, k_2 > 0 \\ -\text{ind}(\mathcal{O}_X(\mathbf{k})) , & k_1 < 0, k_2 > -k_1 \\ 0 & \text{otherwise ,} \end{cases}$$

$$\text{ind}(\mathcal{O}_X(\mathbf{k})) = \frac{3}{2}(k_1 + k_2)(2 + k_1 k_2)$$

Has been proved mathematically.

Example 2: $h^0(\mathcal{O}_{dP_2}(k_0, k_1, k_2))$



$$h^0(\mathcal{O}_{dP_2}(\mathbf{k})) = \begin{cases} 1 + \frac{3}{2}k_0 + \frac{1}{2}k_0^2 + \frac{1}{2}k_1 - \frac{1}{2}k_1^2 + \frac{1}{2}k_2 - \frac{1}{2}k_2^2 & \text{in region 1,} \\ 1 + 2k_0 + k_0^2 + k_1 + k_0k_1 + k_2 + k_0k_2 + k_1k_2 & \text{in region 2,} \\ 1 + \frac{3}{2}k_0 + \frac{1}{2}k_0^2 + \frac{1}{2}k_2 - \frac{1}{2}k_2^2 & \text{in region 3,} \\ 1 + \frac{3}{2}k_0 + \frac{1}{2}k_0^2 + \frac{1}{2}k_1 - \frac{1}{2}k_1^2 & \text{in region 4,} \\ 1 + \frac{3}{2}k_0 + \frac{1}{2}k_0^2 & \text{in region 5.} \\ 0 & \text{in region 6.} \end{cases}$$

$$\text{Region 1: } -k_1 \geq 0 \quad -k_2 \geq 0 \quad k_0 + k_1 + k_2 \geq 0$$

$$\text{Region 2: } k_0 + k_1 + k_2 < 0 \quad k_0 + k_1 \geq 0 \quad k_0 + k_2 \geq 0$$

$$\text{Region 3: } -k_1 < 0 \quad -k_2 \geq 0 \quad k_0 + k_2 \geq 0$$

$$\text{Region 4: } -k_1 \geq 0 \quad -k_2 < 0 \quad k_0 + k_2 \geq 0$$

$$\text{Region 5: } -k_1 < 0 \quad -k_2 < 0 \quad k_0 \geq 0$$

$$\text{Region 6: } \text{otherwise}$$

Has also been proved mathematically.

ML can be used to generate mathematic conjectures.

Learning string theory standard models

A model with the right forces (strong, electro-weak) requires:

6d space X

five line bundles $\mathcal{O}_X(\mathbf{k}_1), \dots, \mathcal{O}_X(\mathbf{k}_5)$

Model characterised by integer matrix $K = (\mathbf{k}_1, \dots, \mathbf{k}_5)$

For a given X , we can create a training set of the form

$$\{K \rightarrow 0 \text{ or } 1\}$$

non-SM SM

Q: Can ML distinguish standard models from non-standard models?

Example

$$X \in \left[\begin{array}{c|ccc} \mathbb{P}^1 & 0 & 1 & 1 \\ \mathbb{P}^1 & 0 & 1 & 1 \\ \mathbb{P}^1 & 1 & 1 & 0 \\ \mathbb{P}^1 & 1 & 1 & 0 \\ \mathbb{P}^1 & 1 & 0 & 1 \\ \mathbb{P}^1 & 1 & 0 & 1 \end{array} \right]$$

This space has ~ 17000 standard models, found by “brute force”.
We also generate the same number of random non-SMs.

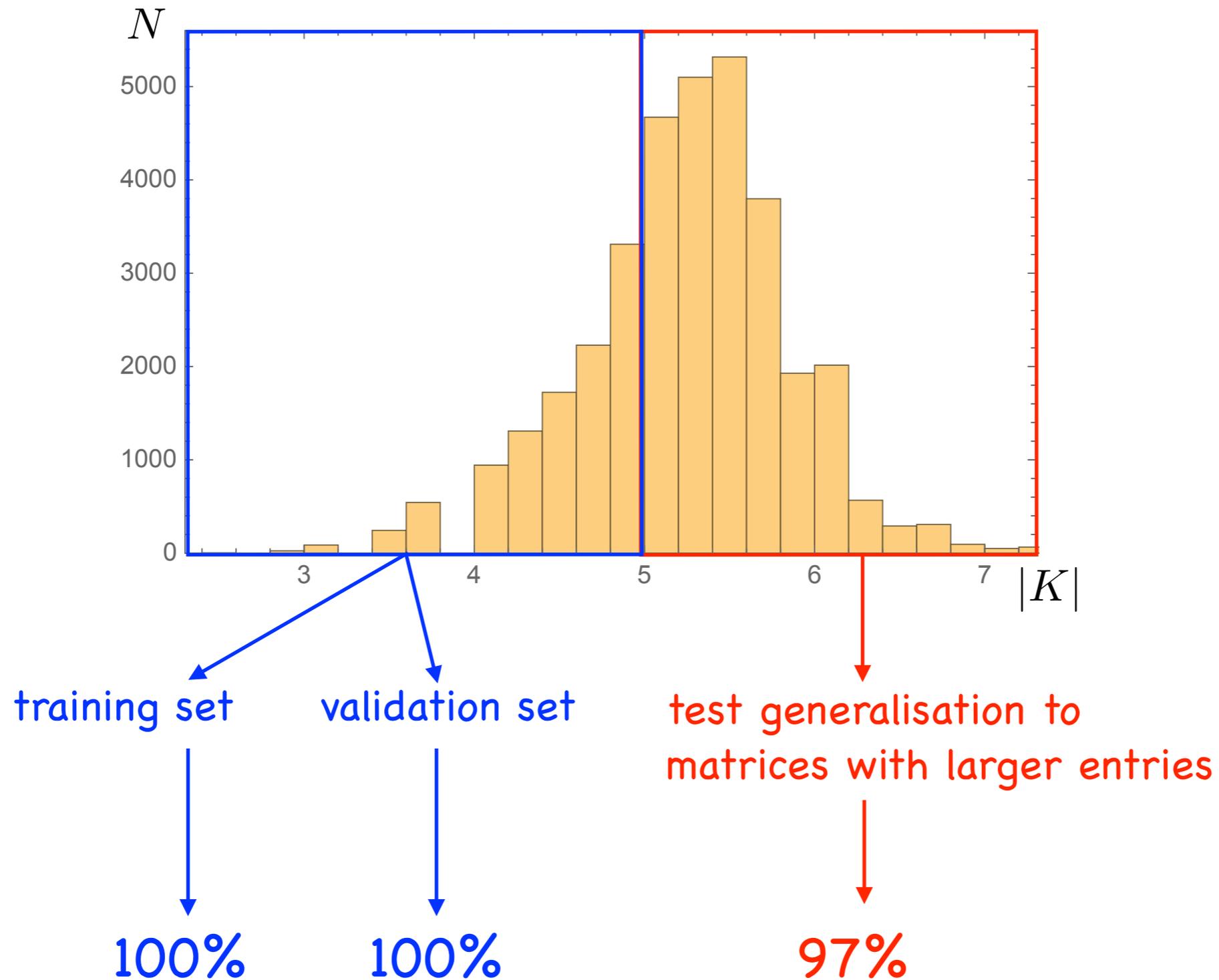
Two examples from the data set:

$$K = \begin{array}{c} \text{SM} \\ \left(\begin{array}{ccccc} -1 & -1 & -1 & 1 & 2 \\ 0 & -2 & 0 & 1 & 1 \\ -1 & 1 & -1 & 0 & 1 \\ 1 & 0 & 1 & 0 & -2 \\ 0 & 1 & 0 & 0 & -1 \\ 1 & 0 & 1 & -2 & 0 \end{array} \right) \rightarrow 1 \end{array}$$

$$K = \begin{array}{c} \text{non-SM} \\ \left(\begin{array}{ccccc} 2 & -1 & -1 & 0 & 0 \\ 0 & 1 & 0 & -1 & 0 \\ -1 & 2 & 2 & -1 & -2 \\ 1 & 0 & 0 & 0 & -1 \\ -1 & 0 & 1 & 1 & -1 \\ 1 & -1 & 0 & -1 & 1 \end{array} \right) \rightarrow 0 \end{array}$$

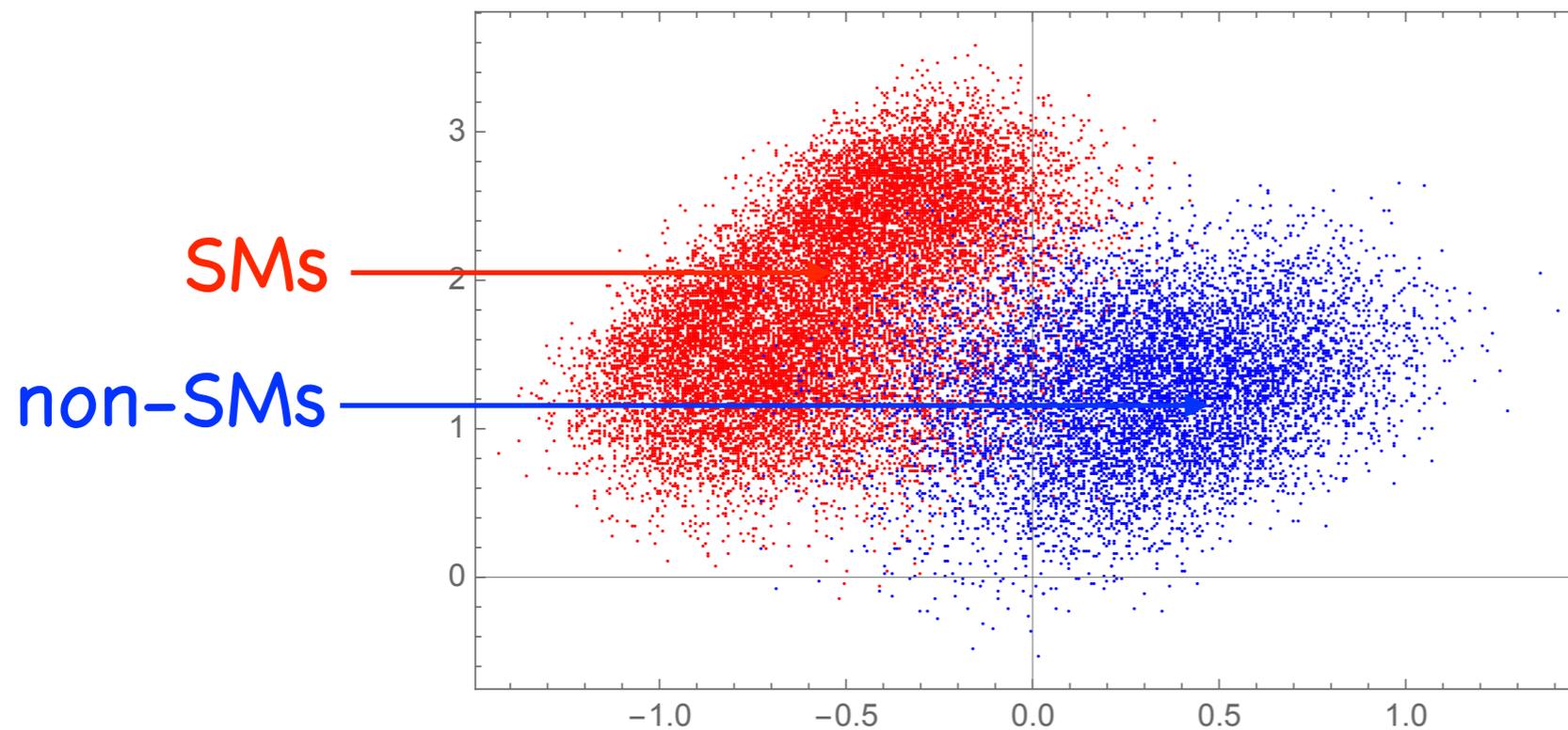
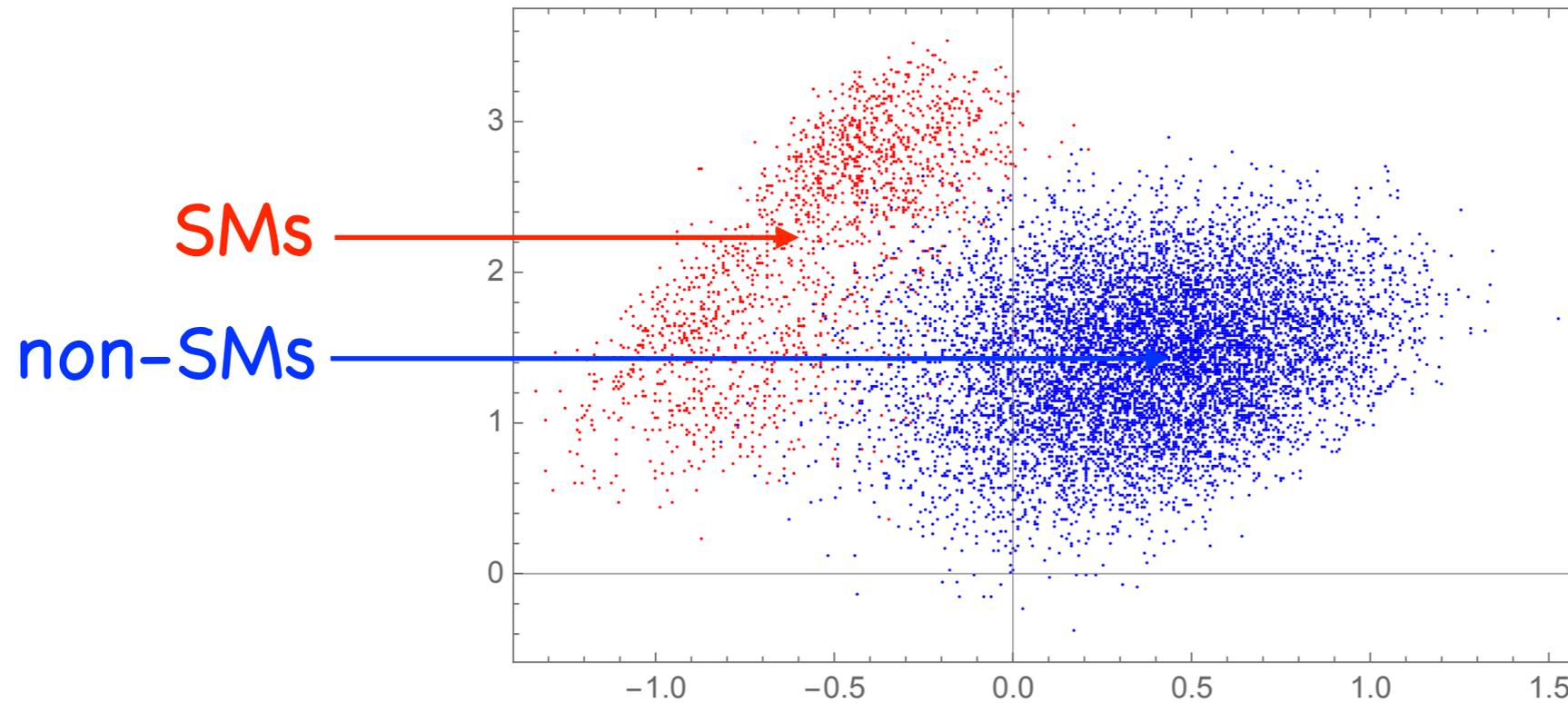
Can you tell them apart? Can the machine?

Network: simple 2 or 3 layer



This provides a fast method to distinguish SM and non-SMs which works beyond the training range.
Still requires testing every matrix -> limited improvement.

Use auto-encoder on same data. Encoder maps into 2d space:



Auto-encoder can distinguish SMs and non-SMs and generalises beyond training range.

Conclusions

- ML in string theory is still in its infancy. The challenge is to match the right problems and techniques.
- ML can be used to generate non-trivial mathematical conjectures.
- ML can distinguish standard models from non standard models.
- Can ML techniques lead to substantial progress in string theory?
- Can the “unusual” data sets and problems in string theory lead to insights into ML?

Thanks